## STATE OF NEW YORK

7551--A

2023-2024 Regular Sessions

## IN SENATE

June 5, 2023

Introduced by Sens. MYRIE, BAILEY, BROUK, COMRIE, COONEY, GIANARIS, GONZALEZ, GOUNARDES, JACKSON, KAVANAGH, MAY, RAMOS, RIVERA, RYAN, SEPULVEDA, WEBB -- read twice and ordered printed, and when printed to be committed to the Committee on Rules -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee

AN ACT to amend the criminal procedure law, the executive law, the correction law, the judiciary law and the civil rights law, in relation to automatic sealing of certain convictions

## The People of the State of New York, represented in Senate and Assembly, do enact as follows:

Section 1. Legislative intent. Almost fifty years ago, New York enacted anti-discrimination protections for individuals with a prior criminal conviction. In his approval message, Governor Carey noted that the expense and time involved in prosecuting and incarcerating an individual is largely wasted "if upon the individual's return to society his willingness to assume a law abiding and productive role is frustrated by senseless discrimination" and further noted that providing a formerly incarcerated individual "a fair opportunity for a job is a matter of 7 basic human fairness as well as one of the surest ways to reduce crime." 9 10 He also noted that the legislation in no way required the hiring of someone with a criminal record but provided reasonable standards to be 11 applied when considering the employment of such an individual, and that 12 merely having a criminal record could not be the sole basis for denying 13 employment. While New York has made great strides in fighting discrimi-14 15 nation - on the basis of many attributes, experiences, and circumstances 16 of New Yorkers - discrimination on the basis of past convictions still 17 persists.

Therefore, it is the intent of the legislature to further curb this discrimination by sealing from public access the conviction records of individuals for certain state convictions only after an individual has

EXPLANATION--Matter in italics (underscored) is new; matter in brackets
[-] is old law to be omitted.

LBD11746-03-3

satisfied their sentence and the required period of time has passed, within which the individual has remained a law abiding citizen while ensuring that this otherwise sealed conviction information will remain 4 accessible for law enforcement and other relevant and necessary 5 purposes. These relevant and necessary purposes include but are not limited to determining suitability for licensing, employment and similar 7 activities where federal or state law requires a criminal background check be performed prior to granting licenses to or employing individuals in certain jobs, such as employment with children, elderly popu-9 10 lations, or other vulnerable populations, as well as where federal or 11 state law authorizes a criminal background check to be performed prior 12 to the same type of employment or similar activity.

It is further the intent of the legislature that this legislation shall not have any impact on, nor will it change the access to, information regarding out of state or federal conviction information for law enforcement purposes or any other person or entity, including prospective employers, accessing an individual's criminal history through criminal background checks or through publicly accessible records.

§ 2. The criminal procedure law is amended by adding a new section 160.57 to read as follows:

21 § 160.57 Automatic sealing of convictions.

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- 1. Convictions for certain traffic infractions or a crime defined in the laws of this state shall be sealed in accordance with this section as follows:
- (a) Convictions for subdivision one of section eleven hundred ninetytwo of the vehicle and traffic law shall be sealed after three years.
- (b) Criminal convictions shall be sealed upon satisfaction of the 28 following conditions:
  - (i) for a misdemeanor conviction, at least three years have passed from the defendant's release from incarceration or the imposition of sentence if there was no sentence of incarceration. If the defendant is subsequently convicted of a crime before a prior conviction is sealed pursuant to this section, the calculation of time for such prior conviction shall start upon the same date as the time calculation starts for the subsequent criminal conviction;
  - (ii) for a felony conviction, at least eight years have passed from the date the defendant was last released from incarceration for the sentence of the conviction eligible for sealing or from the imposition of sentence if there was no sentence of incarceration. A defendant's detention for an alleged violation of parole or post-release supervision shall not interfere with the time calculation prescribed herein unless and until supervision is revoked resulting in the defendant's reincarceration. If the defendant is subsequently convicted of a crime before a prior conviction is sealed pursuant to this section, the calculation of time for such prior conviction shall start upon the same date as the time calculation starts for the subsequent criminal conviction;
- 47 (iii) the defendant does not have a subsequent criminal charge pending 48 in this state;
- 49 (iv) the defendant is not currently under the supervision of any 50 probation or parole department for the conviction eligible for sealing;
- (v) the conviction is not for an offense defined as a sex offense or 52 sexually violent offense under section one hundred sixty-eight-a of the correction law;
- 54 (vi) the conviction is not for a class A felony offense defined in the 55 penal law, other than class A felony offenses defined in article two hundred twenty of the penal law;

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(vii) the defendant is a natural person;

(viii) the defendant does not have a subsequent felony charge pending in another jurisdiction that is not a felony charge related to reproductive or gender affirming care or the possession of cannabis which would not constitute a felony in New York. This subparagraph shall apply if and when appropriate federal authorities grant access to records necessary to query to effectuate the purposes of this subparagraph in an automated manner; and

- (ix) the defendant does not have a subsequent felony conviction in another jurisdiction in the preceding eight years that is not a felony conviction related to reproductive or gender affirming care or the possession of cannabis which would not constitute a felony in New York. This subparagraph shall apply if and when appropriate federal authorities grant access to records necessary to query to effectuate the purposes of this subparagraph in an automated manner.
- (c) If, after the applicable period of time for the sealing of a conviction has been satisfied, the conviction remains ineligible for sealing pursuant to subparagraphs (iii), (iv), (viii) or (ix) of paragraph (b) of this subdivision, the office of court administration shall subsequently check for eligibility no less than quarterly and upon subsequent checks, or the receipt of a form in accordance with paragraph (dd) of subdivision two of section two hundred twelve of the judiciary law, the conviction shall be sealed if all other conditions for sealing under this section are satisfied.
- (d) In accordance with all other applicable laws, rules, and regulations regarding the scope, access, use, disclosure, confidentiality and retention of criminal history information, records of convictions sealed pursuant to this section including photographs, photographic plates or proofs, palmprints, fingerprints or retina scans shall not be accessed by or made available to any person or public or private agency, except for:
  - (i) the defendant and such defendant's counsel;
- (ii) any court, defense counsel or prosecutor for the purposes of a pending criminal proceeding or proceedings brought in a criminal court pursuant to article six-C of the correction law;
- (iii) qualified agencies, as defined in subdivision nine of section eight hundred thirty-five of the executive law, federal and state law enforcement agencies, and interstate and international authorities as defined in subdivision three of section two of the public authorities law, when acting within the scope of their law enforcement duties;
- (iv) the court, prosecutor, and defense counsel if the defendant becomes a witness in a criminal proceeding;
- 43 (v) the court and parties if the defendant becomes a witness or party 44 in a civil proceeding;
- (vi) when an individual is a defendant in a criminal proceeding or proceedings brought in a criminal court pursuant to article six-C of the correction law and the sealed records of conviction of a third party are integral to their defense. In such instances, use of sealed records of conviction shall be requested upon ex parte motion in any superior court, or in any district court, city court or the criminal court of the city of New York provided that such court is where the action is pend-52 ing. The applicant must demonstrate to the satisfaction of the court 53 that the records will be used for the purpose of this subparagraph;
- 54 (vii) individuals or entities that are required by a local law in effect one year prior to the chapter of the laws of two thousand twen-55 ty-three that added this section, a state law, or a federal law or requ-

lation to request and receive a fingerprint-based check of criminal history information. Nothing herein shall prohibit the commissioner of education or the office of school personnel review and accountability from receiving or using convictions sealed pursuant to this section for purposes of subdivisions seven, seven-a and seven-b of section three hundred five of the education law;

(viii) individuals or entities that are authorized by a local law in effect one year prior to the chapter of the laws of two thousand twenty-three that added this section, a state law, or a federal law or regulation to request and receive a fingerprint-based check of criminal history information in relation to the individual's fitness to have responsibility for the safety and well-being of children or adolescents, elderly individuals, individuals with disabilities, or otherwise vulnerable populations. The division of criminal justice services shall maintain an up to date list of citations of the local, state, and federal statutes or federal regulations authorizing the access described herein; (ix) any prospective employer of a police officer or peace officer as those terms are defined in subdivisions thirty-three and thirty-four of section 1.20 of this chapter, in relation to an application for employment as a police officer or peace officer, provided, however, that every person who is an applicant shall be furnished with a copy of all records obtained under this paragraph and afforded an opportunity to make an explanation thereto;

(x) any federal, state or local officer or agency with responsibility for the issuance of licenses to possess a firearm, rifle or shotgun or with responsibility for conducting background checks before transfer or sale of a firearm or explosive, when the officer or agency is acting pursuant to such responsibility. This includes the criminal justice information services division of the federal bureau of investigation, for the purposes of responding to queries to the national instant background check system regarding attempts to purchase or otherwise take possession of firearms, rifles or shotguns, as defined in 18 U.S.C. § 921 (A)(3);

(xi) for the purposes of civilian investigation or evaluation of a civilian complaint or civil action concerning law enforcement or prosecution actions, upon ex parte motion in any superior court, or in any district court, city court or the criminal court of the city of New York provided that such court sealed the record; the applicant must demonstrate to the satisfaction of the court that the records will be used for the purposes of this subparagraph;

(xii) for information provided to an individual or entity pursuant to paragraph (e) of subdivision four of section eight hundred thirty-seven of the executive law or for bona fide research purposes provided all identifying information is removed;

(xiii) when an individual seeks to avail themselves of a public program or benefit, including but not limited to an immigration benefit, for which the sealed records of conviction of a third party are otherwise authorized by law or legal process to be disclosed in furtherance of their application for such program or benefit. In such instances, the individual or their attorney shall request the use of sealed records pursuant to a form as prescribed by the chief administrator of the courts pursuant to paragraph (ee) of subdivision two of section two hundred twelve of the judiciary law;

54 (xiv) for the purpose of collection of restitution, reparation, fines, 55 surcharges, or fees imposed. In such instances, use of sealed records 56 shall be requested upon ex parte motion in any superior court, or in any

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district court, city court, town court, village court, or criminal court
of the city of New York provided that such court is where the action is
pending. The applicant must demonstrate to the satisfaction of the court
that the records will be used for the purpose of this subparagraph;

(xv) transportation network companies that are required or authorized by state law to request criminal history information pursuant to section sixteen hundred ninety-nine of the vehicle and traffic law;

8 (xvi) the state education department for the purposes of investigating 9 professional misconduct as defined in subparagraph (i) of paragraph (a) 10 of subdivision five of section sixty-five hundred nine of the education law, consideration of restoration of a professional license pursuant to 11 section sixty-five hundred eleven of the education law, or determi-12 nations for issuing a license to practice a profession or issuing 13 certificates and privileges for which prior licensure is required, for 14 15 the professions under articles one hundred thirty-one, one hundred thirty-one-b, one hundred thirty-two, one hundred thirty-three, one hundred 16 17 thirty-four, one hundred thirty-five, one hundred thirty-six, one hundred thirty-seven, one hundred thirty-nine, one hundred forty, one 18 hundred forty-one, one hundred forty-three, one hundred forty-four, one 19 20 hundred forty-five, one hundred forty-seven, one hundred forty-nine, one hundred fifty-three, one hundred fifty-four, one hundred fifty-five, one 21 22 hundred fifty-six, one hundred fifty-seven, one hundred fifty-nine, one hundred sixty, one hundred sixty-two, one hundred sixty-three, one 23 hundred sixty-four, and one hundred sixty-seven as such professions are 24 25 defined in title eight of the education law, provided that the state education department certifies to the division of criminal justice 26 27 services that it is investigating an individual licensed to practice a 28 profession pursuant to article one hundred thirty of the education law 29 for professional misconduct as defined in paragraph (a) of subdivision 30 five of section sixty-five hundred nine of the education law, consider-31 ing restoration of a professional license pursuant to section sixty-five hundred eleven of the education law, or making a determination for issu-32 33 ing a license to practice a profession or issuing certificates and priv-34 ileges for which prior licensure is required as appropriate. Provided, further, that the board of regents may consider any prior conviction 35 36 that formed the basis of a determination of the board of regents in a 37 disciplinary proceeding pursuant to section sixty-five hundred ten of the education law and the rules and regulations promulgated pursuant 38 39 thereto in an application for reconsideration, even if such conviction 40 later becomes sealed pursuant to this section; and

(xvii) the office of mental health and the office for people with developmental disabilities, where such agencies are statutorily authorized to receive such information, provided further, that such information may also be made available for case review under section 10.05 of the mental hygiene law, as well as to providers licensed, funded, designated, certified or otherwise authorized by the office of mental health or the office for people with developmental disabilities, where such information is included in the clinical record of any person under the care of or receiving services from such provider or program.

(e) Where the sealing required by this section has not taken place, including where supporting court records cannot be located or have been destroyed, and a defendant or their attorney submits a valid form in accordance with paragraph (dd) of subdivision two of section two hundred twelve of the judiciary law of such fact to the office of court administration, such conviction shall be sealed as set forth in this subdivision within thirty days of the receipt of such form.

(f) The department of corrections and community supervision, in coordination with the division of criminal justice services, shall provide the office of court administration with the data necessary to determine appropriate records to be sealed pursuant to this section, including but not limited to (i) the date or dates of release from state incarceration of individuals who have a sentence of incarceration for a felony conviction, and (ii) the date or dates of initial parole or post-release supervision and corresponding date or dates of discharge, as applicable.

- (g) The chief administrative officer of each local correctional facility shall provide the office of court administration with the data necessary to determine appropriate records to be sealed pursuant to this section, including but not limited to the date or dates of release of individuals who have satisfied a definite sentence of imprisonment.
- 2. Upon the sealing of a conviction pursuant to this section the office of court administration shall immediately notify the division of criminal justice services, the court of conviction, county clerks and the heads of all appropriate police and sheriff departments, prosecutors' offices and law enforcement agencies that the conviction is sealed. Upon receipt of such notification, records of or relating to such conviction shall be immediately sealed as follows:
- (a) Every photograph of the defendant and photographic plates or proof, and all palmprints, fingerprints and retina scans taken or made of the defendant in regard to the sealed conviction, and all duplicates, reproductions, and copies thereof, except a digital fingerprint that is on file with the division of criminal justice services for a conviction that has not been sealed pursuant to this section, shall be marked as sealed by any entity notified under this subdivision having any such photograph, photographic plate or proof, palmprint, fingerprints or retina scan in its possession or under its control by conspicuously indicating on the face of the record or at the beginning of the digitized file of the record that the record has been designated as sealed.
- (b) Every official record and paper and duplicates and copies thereof, including, but not limited to, judgments and orders of a court but not including published court decisions or opinions or records and briefs on appeal, relating to the sealed conviction, on file with the entity notified under this subdivision shall be marked as sealed by conspicuously indicating on the face of the record or at the beginning of the digitized file of the record that the record has been designated as sealed.
- (c) Entities subject to the requirements of this subdivision shall not use or access such sealed information unless otherwise authorized pursuant to this section or any other section of law.
- (d) Nothing in this section shall be construed to interfere with the applicable laws, rules and regulations requiring the division of criminal justice services to administer and maintain criminal history records as set forth in article thirty-five of the executive law.
- 3. (a) Nothing in this section requires the sealing or destruction of DNA information maintained in the New York state DNA database, in accordance with article forty-nine-B of the executive law, of an individual whose conviction is sealed under this section.
- (b) Nothing in this section requires the sealing or destruction of records maintained by the department of motor vehicles, and nothing in this section shall be construed to contravene the vehicle and traffic law, the federal driver's privacy protection act (18 U.S.C 2721 et. seq.), the REAL ID Act of 2005 (Public Law 109-13; 49 U.S.C. 30301 note), section 7209 of the Intelligence Reform and Terrorism Prevention Act of 1986 (49 U.S.C. 31311), the Commercial Motor Vehicle Safety Act

of 1986 (Public Law 99-570; 49 U.S.C. 313), the Motor Carrier Safety
Improvement Act of 1999 (Public Law 106-159), or regulations promulgated
pursuant to any such chapter or act.

- (c) The division of criminal justice services is authorized to disclose a conviction that is sealed pursuant to this section to entities that are required by federal law, or by rules and regulations promulgated by a self-regulatory organization created under federal law, to consider sealed convictions. Such entities must certify to the division that they are required by federal law, or by rules and regulations promulgated by a self-regulatory organization that has been created under federal law, to make an inquiry about or consider records sealed pursuant to this section for purposes of employment, licensing, or clearance. To the extent permitted by federal law, a record sealed pursuant to this section may not be considered a conviction that would prohibit the employment, licensing or clearance of the defendant.
- (d) Nothing in this section shall prohibit entities required by federal law to consider sealed convictions, or by rules and regulations promulgated by a self-regulatory organization that has been created under federal law, from making an inquiry about or considering an applicant's criminal history for purposes of employment, licensing, or clearance from inquiring into convictions sealed pursuant to this section.
- (e) In any civil action, an official record of a conviction that has been sealed pursuant to this section may not be introduced as evidence of negligence against a person or entity that provided employment, contract labor or services, volunteer work, licensing, tenancy, a home purchase, a mortgage, an education, a loan, or insurance if such record was sealed and was not provided to the person or entity by or on behalf of a governmental entity in accordance with this section in response to such person's or entity's authorized and timely request for conviction history information.
- 31 (f) A person or entity described in this subdivision, acting reason-32 ably and in good faith, may not have a duty to investigate the fact of a 33 prior conviction that has been sealed pursuant to this section.
  - 4. No defendant shall be required or permitted to waive eligibility for sealing pursuant to this section as part of a plea of guilty, sentence or any agreement related to a conviction for a violation of the laws of this state. Any such waiver is void and unenforceable.
  - 5. Sealing as set forth in subdivision two of this section is without prejudice to a defendant or their attorney seeking further relief pursuant to article four hundred forty of this chapter. Nothing in this section is intended or shall be interpreted to diminish or abrogate any rights or remedies otherwise available to the defendant.
  - 6. The office of court administration shall make diligent efforts to promptly seal all conviction records eligible for sealing under this section where such convictions were entered on or before the effective date of this section and, in any event, shall ensure sealing of such convictions is complete no later than three years after such effective date.
  - 7. A conviction which is sealed pursuant to this section is included within the definition of a conviction for the purposes of any criminal proceeding in which the fact of a prior conviction would enhance a penalty or is an element of the offense charged.
- 8. Nothing in this section shall be construed to permit sealing of a conviction before the expiration or termination of a sentence of incar55 ceration, parole, probation, or post-release supervision for such conviction.

9. Nothing in this section shall be construed to affect or invalidate any active order of protection issued in relation to a conviction sealed under this section.

- 10. Nothing in this section shall be construed to require or authorize the discharge of the requirement to pay any restitution, reparation, fines, surcharges, or fees imposed for a conviction sealed under this section or the sealing of a criminal or civil proceeding for the collection of any such amount due, unless such proceeding is otherwise eligible for sealing under this section or any other provision of law.
- § 3. Section 845-d of the executive law is amended by adding two new subdivisions 4 and 5 to read as follows:
- 4. Nothing in this section shall authorize the division to provide criminal history information that is sealed pursuant to section 160.57 of the criminal procedure law to an entity other than those authorized by such section to receive such information.
- 5. Except as otherwise required by law, every entity that receives criminal history information for civil purposes shall provide or ensure the provision of a copy of such criminal history information to every individual for whom such information is received with a copy of article twenty-three-A of the correction law, and that such individual be informed of their right to seek correction of any incorrect information contained in such information pursuant to the regulations and procedures established by the division of criminal justice services.
- § 4. Subdivision 2 of section 212 of the judiciary law is amended by adding two new paragraphs (dd) and (ee) to read as follows:
- (dd) Promulgate a standardized form and process for individuals to notify the office of court administration of convictions subject to sealing under section 160.57 of the criminal procedure law, but for which the office has not sealed or taken the requisite action for related records.
- (ee) Promulgate a standardized form and process for individuals authorized to request sealed records pursuant to subparagraph (xiii) of paragraph (d) of subdivision one of section 160.57 of the criminal procedure law.
- § 5. Subdivision 16 of section 296 of the executive law, as amended by section 2 of subpart 0 of part II of chapter 55 of the laws of 2019, is amended to read as follows:
- 16. It shall be an unlawful discriminatory practice, unless specif-ically required or permitted by statute, for any person, agency, bureau, corporation or association, including the state and any political subdi-vision thereof, to make any inquiry about, whether in any form of appli-cation or otherwise, or to act upon adversely to the individual involved, any arrest or criminal accusation of such individual not then pending against that individual which was followed by a termination of that criminal action or proceeding in favor of such individual, as defined in subdivision two of section 160.50 of the criminal procedure law, or by an order adjourning the criminal action in contemplation of dismissal, pursuant to section 170.55, 170.56, 210.46, 210.47, or 215.10 of the criminal procedure law, or by a youthful offender adjudication, as defined in subdivision one of section 720.35 of the criminal proce-dure law, or by a conviction for a violation sealed pursuant to section 160.55 of the criminal procedure law or by a conviction which is sealed pursuant to section 160.59 or 160.58 of the criminal procedure law, or by a conviction which is sealed pursuant to section 160.57 of the criminal procedure law, except where such conviction record is accessed pursuant to subparagraph (vii), (viii), or (xvi) of paragraph (d) of

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subdivision one of section 160.57 of the criminal procedure law, in connection with the licensing, housing, employment, including volunteer positions, or providing of credit or insurance to such individual; provided, further, that no person shall be required to divulge information pertaining to any arrest or criminal accusation of such individual not then pending against that individual which was followed by a termi-7 nation of that criminal action or proceeding in favor of such individual, as defined in subdivision two of section 160.50 of the criminal 9 procedure law, or by an order adjourning the criminal action in contem-10 plation of dismissal, pursuant to section 170.55 or 170.56, 210.46, 11 210.47 or 215.10 of the criminal procedure law, or by a youthful offen-12 der adjudication, as defined in subdivision one of section 720.35 of the criminal procedure law, or by a conviction for a violation sealed pursu-13 14 ant to section 160.55 of the criminal procedure law, or by a conviction 15 which is sealed pursuant to section 160.58 or 160.59 of the criminal procedure law, or by a conviction which is sealed pursuant to section 16 17 160.57 of the criminal procedure law, except where such conviction 18 record is accessed pursuant to subparagraph (vii), (viii), or (xvi) of paragraph (d) of subdivision one of section 160.57 of the criminal 19 procedure law. An individual required or requested to provide informa-20 21 tion in violation of this subdivision may respond as if the arrest, 22 criminal accusation, or disposition of such arrest or criminal accusa-23 tion did not occur. The provisions of this subdivision shall not apply to the licensing activities of governmental bodies in relation to the regulation of guns, firearms and other deadly weapons or in relation to 24 25 26 an application for employment as a police officer or peace officer as 27 those terms are defined in subdivisions thirty-three and thirty-four of 28 section 1.20 of the criminal procedure law; provided further that the 29 provisions of this subdivision shall not apply to an application for 30 employment or membership in any law enforcement agency with respect to 31 any arrest or criminal accusation which was followed by a youthful 32 offender adjudication, as defined in subdivision one of section 720.35 33 of the criminal procedure law, or by a conviction for a violation sealed 34 pursuant to section 160.55 of the criminal procedure law, or by a 35 conviction which is sealed pursuant to section 160.58 or 160.59 of the 36 criminal procedure law, or by a conviction which is sealed pursuant to 37 section 160.57 of the criminal procedure law. For purposes of this subdivision, an action which has been adjourned in contemplation of 39 dismissal, pursuant to section 170.55 or 170.56, 210.46, 210.47 or 40 215.10 of the criminal procedure law, shall not be considered a pending action, unless the order to adjourn in contemplation of dismissal is 41 42 revoked and the case is restored to the calendar for further prose-43 44

- § 6. Section 9 of the correction law, as added by section 2 of part 00 of chapter 56 of the laws of 2010, the section heading as amended by chapter 322 of the laws of 2021, is amended to read as follows:
- § 9. Access to information of incarcerated individuals via the internet. Notwithstanding any provision of law to the contrary, any information relating to the conviction of a person[ - except for a person convicted of an offense that would make such person ineligible for merit time under section eight hundred three of this chapter or an offense for which registration as a sex offender is required as set forth in subdivision two or three of section one hundred sixty-eight-a of this chapter, that is posted on a website maintained by or for the department, 55 under article six of the public officers law, may be posted on such 56 website for a period not to exceed [five] three years after the expira-

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tion of such person's sentence of imprisonment and at the conclusion of any period of parole or post-release supervision[ + provided, however, that in the case of a person who has been committed to the department on more than one occasion, the department may post conviction information relating to any prior commitment on such website for a period not to exceed five years after the expiration of such person's sentence of imprisonment and any period of parole or post-release supervision arising from the most recent commitment to the department].

§ 7. The civil rights law is amended by adding a new section 50-g to read as follows:

§ 50-g. Disclosure of convictions sealed pursuant to section 160.57 of the criminal procedure law. 1. Any person who has had a conviction sealed pursuant to section 160.57 of the criminal procedure law may bring a cause of action for damages against a party who, without consent of such person, discloses such sealed conviction where: (a) the respondent owed such person a duty of care pursuant to such section; (b) respondent knowingly and willfully breached such duty; (c) the disclosure caused injury to such person; and (d) respondent's breach of that duty was a substantial factor in the events that caused the injury suffered by such person. The provisions of this section are in addition to, but shall not supersede, any other rights or remedies available in law or equity.

- 2. For purposes of this section, a party owes a duty of care to a person who has had a conviction sealed pursuant to section 160.57 of the criminal procedure law when the party is under an obligation pursuant to subdivision two of such section to seal information, records, documents or papers related to such conviction, or when the party obtains access to records of such conviction for a specified purpose pursuant to paragraph (d) of subdivision one, or subdivision three of such section.
- § 8. Paragraph (a) of subdivision 1 of section 837-n of the executive law, as added by chapter 3 of the laws of 1998, is amended to read as follows:
- (a) "Caregiver" shall mean a person employed to provide [fifteen 34 more hours of care [per week] to a child or children, or an elderly or vulnerable adult in the home of such a child [ex], children, or elderly or vulnerable adult.
  - § 9. Severability. If any provision of this act or the application thereof to any person, corporation or circumstances is held invalid, such invalidity shall not affect other provisions or applications of the act which can be given effect without the invalid provision or application, and to this end the provisions of this act are declared to be severable.
- 43 § 10. This act shall take effect one year after it shall have become a 44 Effective immediately, the addition, amendment and/or repeal of 45 any rule or regulation necessary for the implementation of this act on its effective date are authorized to be made and completed on or before 46 47 such date.